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J1036 U.S. PTO
09/877546

06/08/01

PTO/SB/05 (11-00)

Please type a plus sign (+) inside this box

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No

26071/12:1

First Inventor

Benjamin R. Clifton

Title

Liquid-Crystal-Based Video . . .

Express Mail Label No

EL414489457US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **22**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **8**]
5. Oath or Declaration [Total Pages **3**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies), or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i) Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

Prior application information

Examiner

William C. Dowling

of prior application No. 09/425,316

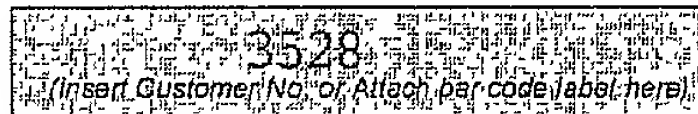
Group Art Unit

2851

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label



or



Correspondence address below

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Richard B. Preiss

Registration No. (Attorney/Agent)

36,640

Signature

Date

June 8, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	
		Filing Date	Concurrently herewith
		First Named Inventor	Benjamin R. Clifton
		Examiner Name	
		Group Art Unit	
TOTAL AMOUNT OF PAYMENT		(\$) 485.00	
		Attorney Docket No.	26071/12:1

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to		3. ADDITIONAL FEES	
Deposit Account Number: 19-4455		Large Entity Small Entity	
Deposit Account Name: Steel Rives LLP		Fee Fee Fee Fee	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		Code (\$) Code (\$) Fee Description Fee Paid	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		105 130 205 65 Surcharge - late filing fee or oath	
2. <input checked="" type="checkbox"/> Payment Enclosed:		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		139 130 139 130 Non-English specification	
FEE CALCULATION		147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination	
1. BASIC FILING FEE		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
Large Entity Small Entity		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
Fee Fee Fee Fee		115 110 215 55 Extension for reply within first month	
Code (\$) Code (\$) Fee Description Fee Paid		116 390 216 195 Extension for reply within second month	
101 710 201 355 Utility filing fee 355		117 890 217 445 Extension for reply within third month	
106 320 206 160 Design filing fee		118 1,390 218 695 Extension for reply within fourth month	
107 490 207 245 Plant filing fee		128 1,890 228 945 Extension for reply within fifth month	
108 710 208 355 Reissue filing fee		119 310 219 155 Notice of Appeal	
114 150 214 75 Provisional filing fee		120 310 220 155 Filing a brief in support of an appeal	
SUBTOTAL (1) (\$) 355		121 270 221 135 Request for oral hearing	
2. EXTRA CLAIM FEES		138 1,510 138 1,510 Petition to institute a public use proceeding	
Total Claims 30 -20** = 10 X Fee from below 9 = 90		140 110 240 55 Petition to revive - unavoidable	
Independent Claims 3 -3** = 0 X Fee from below 40 = 0		141 1,240 241 620 Petition to revive - unintentional	
Multiple Dependent 0 = 0		142 1,240 242 620 Utility issue fee (or reissue)	
Large Entity Small Entity		143 440 243 220 Design issue fee	
Fee Fee Fee Fee		144 600 244 300 Plant issue fee	
Code (\$) Code (\$) Fee Description Fee Paid		122 130 122 130 Petitions to the Commissioner	
103 18 203 9 Claims in excess of 20		123 50 123 50 Petitions related to provisional applications	
102 80 202 40 Independent claims in excess of 3		126 240 126 240 Submission of Information Disclosure Stmt	
104 270 204 135 Multiple dependent claim, if not paid		581 40 581 40 Recording each patent assignment per property (times number of properties)	
109 80 209 40 ** Reissue independent claims over original patent		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
SUBTOTAL (2) (\$) 90		179 710 279 355 Request for Continued Examination (RCE)	
**or number previously paid, if greater. For Reissues, see above		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		* Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$) 40	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	RICHARD B. PREISS	Registration No. (Attorney/Agent)	36,640
Signature	<i>Richard B. Preiss</i>	Telephone	503.224.3380
		Date	June 8, 2001

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Application No.:

Filed: Concurrently Herewith

Inventor: Benjamin R. Clifton and John P. Fogarty

Title: **LIQUID-CRYSTAL-BASED VIDEO PROJECTION SYSTEM HAVING
ENHANCED PERFORMANCE AND RELIABILITY**

Attorney Docket No.: 26071/12:1

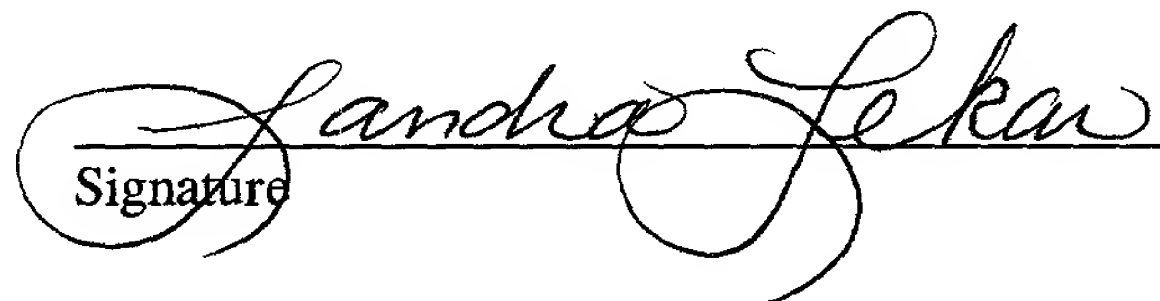
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Date of Deposit June 8, 2001

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20231


Signature

SANDRA LEKAR

Typed or printed name of person signing
certificate

Attachment:

- [X] Utility Patent Application Transmittal, 22 pages of specification and claims, 8 sheets of drawings, Combined Declaration and Power of Attorney for Patent Application, Patent Application Fee Determination Record, Fee Transmittal (in duplicate), Assignment, Assignment Cover Letter, check for \$485 in payment of filing fee and assignment recordal fee, Information Disclosure Statement, PTO-1449 (with references) and return receipt postcard.

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Benjamin R. Clifton

Title

Liquid-Crystal-Based Video Projection
System Having Enhanced Performance ..

Atty Docket Number

26071/12:1

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 8, 2001

Date

Richard B. Preiss

Signature

Richard B. Preiss, Reg. 36,640

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**